

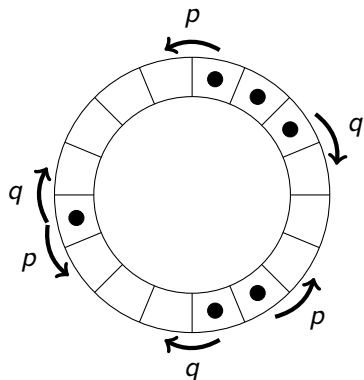
# Weak asymmetry limit of the Bethe Ansatz Equations for the asymmetric exclusion process (ASEP)

Damien Simon

Laboratoire "Probabilités et modèles aléatoires",  
Université Pierre et Marie Curie (Paris 6)

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# The model : the asymmetric exclusion process



- ring geometry of  $L$  sites,
- fixed number  $N$  of particles,
- jump rates  $p$  to the right,  $q$  to the left.

$$W = \sum_{i=1}^L \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & p & 0 \\ 0 & q & -p & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}_{i,i+1}$$

## Quantity of interest : the current $Q_t$

$$Q_t = \# \text{jumps of particle to the right} - \# \text{jumps of particle to the left}$$

Generating function:

$$\langle e^{s Q_t} \rangle \underset{t \rightarrow \infty}{\propto} e^{\mu_1(s) t}$$

where  $\mu_1(s)$  is the eigenvalue with **largest real part** of the modified transition matrix:

$$\widehat{W}_s = \sum_{i=1}^L \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & p e^s & 0 \\ 0 & q e^{-s} & -p & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}_{i,i+1}$$

Similar to the Hamiltonian of the **asymmetric XXZ spin chain**  
**but...**

# Bethe equations & hydrodynamical scalings

$$\psi(\mathbf{x}_<) = \sum_{\sigma \in \mathcal{S}_N} A_\sigma(\{z_i\}) \prod_{i=1}^N z_{\sigma(i)}^{x_i}$$

$$z_k^L = (-1)^{N-1} \prod_{j \neq k} \frac{pe^s + qe^{-s}z_jz_k - (p+q)z_k}{pe^s + qe^{-s}z_jz_k - (p+q)z_j}$$

## Hydrodynamical scalings:

$$\begin{aligned} L &\rightarrow \infty \\ N/L &\rightarrow \rho \end{aligned}$$

$$\begin{aligned} \Delta &= \frac{p+q}{2\sqrt{pq}} \\ e^{2H} &= \sqrt{\frac{p}{q}} e^s \end{aligned}$$

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## Hydrodynamical scalings:

$$\begin{aligned} L &\rightarrow \infty \\ N/L &\rightarrow \rho \\ p - q &\sim \frac{\nu}{L} \\ s &\sim \frac{\gamma}{L} \end{aligned}$$

$$\begin{aligned} \Delta &= \frac{p+q}{2\sqrt{pq}} \simeq 1 + \frac{\nu^2}{2L^2} \\ e^{2H} &= \sqrt{\frac{p}{q}} e^s \simeq 1 + \frac{\gamma + \nu}{L} \end{aligned}$$

Couplings vanishing as  $L \rightarrow \infty$

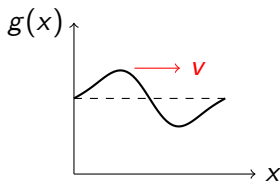
## Why these scalings ?

From probability theory, existence of a nice hydrodynamical limit as  $\rho - q = \nu/L$  :

$$L\mu_1(\gamma/L) \rightarrow \sup_{g,J,\nu} \left( \gamma J - \int_0^1 \left[ \frac{(J + \nu(g(x) - \rho) - \nu\sigma(g(x)))^2}{2\sigma(g(x))} + \frac{g'(x)^2}{8\sigma(g(x))} \right] dx \right)$$

Research of an **optimal profile**  $g(x)$ , with an **optimal velocity**  $v$

- ①  $g(x)$  : real density profile
- ②  $v$  : macroscopic velocity
- ③  $J$  : current
- ④  $\sigma(g) = g(1 - g)$  : conductivity

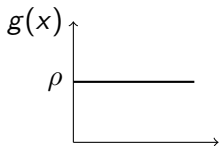


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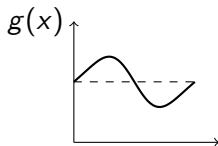
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Research of an **optimal profile**  $g(x)$ , with an **optimal velocity**  $\nu$



$\gamma > \gamma_c$ , flat

$$\tilde{\mu}_1(\gamma) = \rho(1 - \rho)(\gamma^2 + \gamma\nu)$$



$\gamma < \gamma_c$ , traveling wave

$$\tilde{\mu}_1(\gamma) = \text{elliptic int.}$$

**Phase transition and classical integrability for the macroscopic limit**

## Bethe Ansatz approach (microscopic)

$T - Q$  approach (after change of variable  $z_k = f(u_k)$ ):

$$T_{L,N}(Z)Q_N(Z) = e^{sL} p^N \left( Z \sqrt{\frac{p}{q}} + 1 \right)^L Q_N \left( \frac{q}{p} Z \right) + q^N \left( Z \sqrt{\frac{q}{p}} + 1 \right)^L Q_N \left( \frac{p}{q} Z \right) \quad (1)$$

As usual, resolvent:

$$W(Z) = \frac{1}{L} \sum_{i=1}^N \frac{1}{Z - u_k} \xrightarrow{\text{hydro. lim.}} \int_{\Gamma} \frac{\rho(u) du}{Z - u} = w(Z)$$

satisfying for the **ground state**:

$$t(z) = \cosh \left( \frac{\gamma}{2} + \rho\nu + \frac{\nu Z}{1+Z} - 2\nu Z w(Z) \right)$$

Not a **quadratic** equation in  $w(Z)$

but use of the **Riemann surface of  $\cosh^{-1}$**  !



# Properties of the cut of the resolvent

$$t(Z) = \cosh \Phi(Z)$$

$$\Phi(Z) = \frac{\gamma}{2} + \rho\nu + \frac{\nu Z}{1+Z} - 2\nu Z w(Z)$$

$$\rho = \lim_{Z \rightarrow \infty} Z w(Z)$$

$$0 = \gamma\rho + 2\rho\nu + 2\nu w(-1)$$

+conditions :

- 1  $t(Z)$  holomorphic on  $\mathbb{C} - \{-1\}$
- 2  $\Phi(Z)$  has the same cuts as  $w(Z)$

Along a cut  $\Gamma$ :

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Along a cut  $\Gamma$ :

$$\Delta^{(\pm)}\Phi(Z) = \lim_{\epsilon \rightarrow 0, \epsilon \perp \Gamma} \left( \Phi(Z + \epsilon) \pm \Phi(Z - \epsilon) \right)$$

and necessarily :

$$\Delta^{(+)}\Phi = 2i\pi m, m \in \mathbb{Z} \quad \text{or} \quad \Delta^{(-)}\Phi = 2i\pi n, n \in \mathbb{Z}^*$$

## Choice of $\Delta^{(\pm)}$ , phase transition

- 1 Gaussian phase: **one cut with  $\Delta^{(+)} = 0$**   
 $\simeq$  semi-circle/Marchenko-Pastor density on  $\Gamma$  and one recovers

$$\tilde{\mu}_1^{\text{gauss}}(\gamma) = \rho(1 - \rho)(\gamma^2 + \gamma\nu) \quad (2)$$

- 2 transition : **one cut with  $\Delta^{(+)} = 0$  and one point with  $\Delta^{(-)} = 2i\pi$**

- 3 travelling wave phase: **one cut  $\Gamma = \Gamma_1 \cup \Gamma_2 \cup \Gamma_3$**

$$\begin{cases} \Gamma_1 : \Delta^{(+)}\phi = 0 \\ \Gamma_2 : \Delta^{(-)}\phi = 2i\pi \\ \Gamma_3 : \Delta^{(+)}\phi = 0 \end{cases} \quad \tilde{\mu}_1^{\text{trav}}(\gamma) = \text{same elliptic integrals} \quad (3)$$

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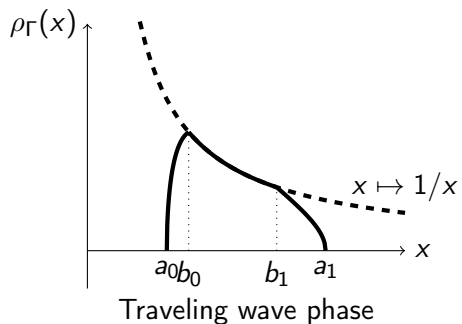
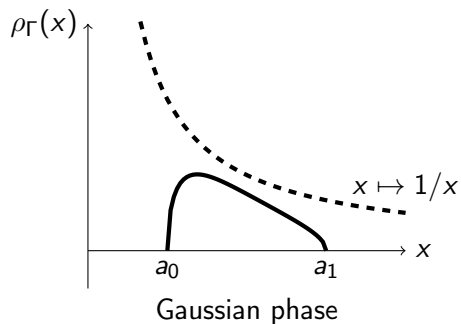
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# Bethe roots profile



# Conclusion

- 1 ASEP in hydro. regime (nice theory), XXZ with non-standard scaling couplings
- 2 non-quadratic equation for the resolvent: other Riemann surfaces and other types of cuts (piecewise structure)
- 3 relation with classical hydrodynamical integrable theory

## Open questions :

- 1 relation between Bethe root density on the cut and the real traveling wave profile (spatial correlations?)
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